



IN THE UNITED STATES PATENT OFFICE

APPLICANT: De La Concha Estrada, Ernesto)
SERIAL NUMBER: 09/994,285.)
FILED: 11/26/2001) ART UNIT: 1732
FOR: ECOLOGICAL MIXTURE FOR CONSTRUCTION AND)
METHOD FOR WASTE DISPOSAL)
DOCKET NUMBER: 12362)

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231

January 28, 2002

Sir:

This communication is in response to your "Notification of Missing Requirements" dated December 28, 2002. Applicant now submits herewith:

1. Declaration of Inventorship;
2. Late filing fee of \$65.

REMARKS

Applicant asserts that the Examiner's objections have been overcome, and now requests further consideration on the merits.

The Commissioner is hereby authorized to charge any underpayment or to credit any overpayment to deposit account number 04-2219, referencing our docket number 12362.

Respectfully submitted,

Andrew D. Babcock
Attorney for Applicant(s)
Registration Number 44517

ORUM & ROTH
53 WEST JACKSON BOULEVARD
CHICAGO, ILLINOIS 60604-3606
TELEPHONE: 312.922.6262
FAX: 312.922.7747

MAR. 17. 2003 4:09PM

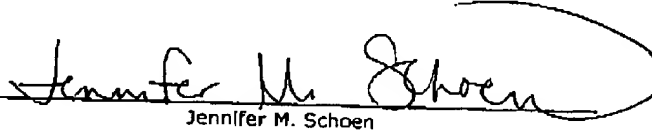
ORUM & ROTH

NO. 5421 P. 6

Ernesto De La Concha Estrada
Serial Number: 09/994,285
January 28, 2002
Page 2 of 2

CERTIFICATE OF MAILING

I hereby certify that these papers and fees are being deposited with the United States Postal Service under 37 CFR § 1.10 on , and is addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 28, 2002.


Jennifer M. Schoen

F155 (Orum & Roth)

MAR. 17. 2003 4:10PM

ORUM & ROTH

NO. 5421 P. 8

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,285	11/26/2001	Ernesto De La Concha Estrada	12362

CONFIRMATION NO. 9601

FORMALITIES LETTER



OC00000007240727

Orum & Roth
53 West Jackson Boulevard
Chicago, IL 60604

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAR. 17. 2003 4:09PM

ORUM & ROTH

NO. 5421 P. 4

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,285	11/26/2001	Ernesto De La Concha Estrada	12362

CONFIRMATION NO. 9601

FORMALITIES LETTER



OC00000007240727

Orum & Roth
53 West Jackson Boulevard
Chicago, IL 60604

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Alayne W. Legeese

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Applicant:** DE LA CONCHA ESTRADA, Ernesto**Serial Number:** 09994285**Filed:** 11/26/2001**For:** ECOLOGICAL MIXTURE FOR CONSTRUCTION AND METHOD FOR WASTE DISPOSAL**Docket Number:** 12362**COMMUNICATION**

Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Attention: OIPE Customer Service

March 17, 2003

Sir:

This Communication is in response to your cover letter for resending correspondence dated March 10, 2003 enclosing a copy of the Filing Receipt dated December 28, 2001 and the Notice to File Missing Parts of Non-Provisional Application dated December 28, 2001.

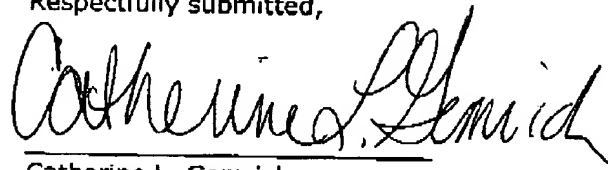
Applicant responded to the Notice of Missing Parts on January 28, 2002. That response enclosed a Declaration of Inventorship and the late filing fee of \$65.00. A copy of that Response and the Declaration along with the postcard showing receipt of the Declaration and late filing fee by the USPTO on February 15, 2002 is enclosed.

Applicant also filed two Status Inquiries dated August 5, 2002 and January 13, 2003 related to this application. These were received by the USPTO on August 12, 2002 and January 21, 2003, respectively.

Applicant respectfully asserts that he timely responded to the Notice of Missing Parts and requests examination on the merits.

It is believed that no fee is due. However, if a fee is due, Applicant authorizes the Commissioner to credit or debit any overpayments or underpayments to our Docket Account Number 4-2219 referencing our Docket Number 12362.

Respectfully submitted,

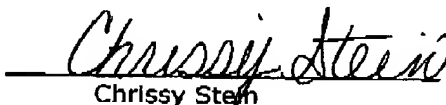


Catherine L. Gemrich
Attorney Registration No. 50473
Attorney for Applicant

ORUM & ROTH
53 WEST JACKSON BOULEVARD
CHICAGO, ILLINOIS 60604-3606
TELEPHONE: (312) 922-6262

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being sent to the Commissioner of Patents and Trademarks by facsimile to: 703-746-4060, on March 17, 2003.



Chrissy Stern

MAR. 17. 2003 4:10PM ORUM & ROTH

NO. 5421 P. 9

ORUM & ROTH 70-98
INTELLECTUAL PROPERTY LAW
COMMERCIAL LAW & LITIGATION
53 WEST JACKSON BOULEVARD, 1818
CHICAGO, IL 60604-3704

CITIBANK, FEDERAL SAVINGS BANK
CHICAGO, IL 60602
2-7080/2710

22024

1/28/2001

PAY TO THE ORDER OF Commissioner of Patents and Trademarks

\$ **65.00

Sixty-Five and 00/100*****

Commissioner of Patents and Tra

DOLLARS

213:12362

MEMO

⑈022024⑈ ⑆271070801⑆0980813770⑈

ORUM & ROTH

Commissioner of Patents and Trademarks

Government Fees

1/28/2001

22024

65.00



CB Checking

213:12362

65.00

Serial No.: 0980813770 Date Mailed: January 28, 2002
Inventor: Orum & Roth Attorney Docket: 12362
Title: Biological Mixture for Construction and Method for Waste Disposal
This Card accompanies the following:
Specification (pages) ☐
Number of Claims (pages) ☐
Drawings (sheets) ☐
Declaration of Inventorship/Power of Attorney ☐
Information Disclosure Statement ☐
Preliminary Amendment ☐
Assignment ☐
Convention Document ☐
Response to Official Action ☐
U.S. Maintenance Fee \$ ☐
U.S. Issue Fee \$ ☐
Government Fees \$ ☒ 65.00
Other: ☐
RECEIPT STAMP
PATENT OFFICE: Please apply a receipt stamp hereto and mail to address on reverse side to acknowledge receipt of the attachment(s) indicated to the left
PATENT OFFICE: Please stamp U.S. Serial No. or PCT Application No. below:



Serial No.: 09/994 285 Date Mailed: August 2, 2002
 Inventor: DE LA CONCHA ESTRADA Attorney Docket: 12362
 Title: Ecological Mixture for Construction and Method for Waste Disposal

This Card accompanies the following.

Specification (pages) ☐
 Number of Claims (pages) ☐
 Drawings (sheets) ☐
 Declaration of Inventorship/Power of Attorney ☐
 Information Disclosure Statement ☐
 Preliminary Amendment ☐
 Assignment ☐
 Convention Document ☐
 Response to Official Action ☐
 U.S. Maintenance Fee ☐
 U.S. Issue Fee ☐
 Government Fees ☐
 Other: Status Inquiry for Office Action ☒



RECEIPT STAMP

PATENT OFFICE: Please apply a receipt stamp hereto and mail to address on reverse side to acknowledge receipt of the attachment(s) indicated to the left.

PATENT OFFICE:
 Please stamp U.S. Serial No. or PCT Application No. below:

1-213 (Orum & Roth)

Serial No.: 09994285 Date Mailed: 1-13-03
 Inventor: De La Concha Estrada, Ernesto Attorney Docket: 12362
 Title: Ecological Mixture For Construction and Method For Waste Disposal

This Card accompanies the following:

Specification (pages) ☐
 Number of Claims (pages) ☐
 Drawings (sheets) ☐
 Declaration of Inventorship/Power of Attorney ☐
 Information Disclosure Statement ☐
 Preliminary Amendment ☐
 Assignment ☐
 Convention Document ☐
 Response to Official Action ☐
 U.S. Maintenance Fee ☐
 U.S. Issue Fee ☐
 Government Fees ☐
 Other: Status Inquiry ☒



RECEIPT STAMP

PATENT OFFICE: Please apply a receipt stamp hereto and mail to address on reverse side to acknowledge receipt of the attachment(s) indicated to the left.

PATENT OFFICE:
 Please stamp U.S. Serial No. or PCT Application No. below:

1-213 (Orum & Roth)

MAR. 17. 2003 4:08PM

ORUM & ROTH

NO. 5421 P. 3
Page 1 of 1



UNITED STATES
PATENT AND
TRADEMARK OFFICE



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY DOCKET NO./TITLE
09/994,285	11/26/2001	Ernesto De La Concha Estrada	12362

Orum & Roth
53 West Jackson Boulevard
Chicago, IL 60604

CONFIRMATION NO. 9601

RESEND COVER LETTER



OC000000009621886

Date Mailed: 03/10/2003

COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 12/28/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ORUM & ROTH
INTELLECTUAL PROPERTY LAW
COMMERCIAL LAW & LITIGATION
53 WEST JACKSON BOULEVARD
SUITE 1616
CHICAGO, IL 60604

FACSIMILE TRANSMITTAL SHEET

TO:	MARIAN DAY	FROM:	ORUM & ROTH
COMPANY:	USPTO	DATE:	03/25/03
FAX NUMBER:	703-746-6597	TOTAL NO. OF PAGES INCLUDING COVER:	
PHONE NUMBER:		SENDER'S REFERENCE NUMBER:	12362
RE: SERIAL NUMBER 09/994285		YOUR REFERENCE NUMBER:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

**PURSUANT TO YOUR REQUEST, PLEASE FIND ATTACHED THE
DECLARATION OF INVENTORSHIP FOR SERIAL NUMBER 09/994285,
OUR DOCKET NUMBER 12362.**

NOTICE OF CONFIDENTIALITY

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual or entity to which it is addressed. If the recipient of this message is not the intended recipient or the agent responsible for delivering the message to the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance upon the contents of this message is prohibited. If you have received this facsimile message in error, please contact us immediately by telephone (collect) and return the original of this message to us via the post. Please Call 312 922 6262 if you did not receive all pages of transmitted document

53 WEST JACKSON BOULEVARD, SUITE 1616
CHICAGO, ILLINOIS 60604
PHONE: 312\922-6262 FAX: 312\922-7747